



Y04S022

Serial No.: 10/516,849

Filed: January 7, 2005

Group Art Unit: 1775

FOR: SUBSTRATE FOR FORMING THIN FILM
FORMATION, THIN FILM SUBSTRATE, OPTICAL
WAVEGUIDE, LIGHT EMITTING DEVICE
SUBSTRATE FOR LIGHT EMITTING DEVICE
MOUNTING

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Information Disclosure Statement

Dear Sir:

Enclosed is a copy of the Information Disclosure Citation Form together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97(g) and (h), the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

None of those references show a safety signal jacket wherein a rider of non-enclosed vehicles can indicate the direction of turning, moving, slowing and stopping, comprising signal lights apparatus and horn apparatus secured onto the jacket, as recited in the claims of the present application.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

_____ 37 C.F.R. §1.97(b).

X 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

X A certification pursuant to 37 C.F.R. §1.97(e)* or

_____ A check for \$ _____ for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. § 1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A certification pursuant to 37 C.F.R. §1.97(e)*;
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$ _____ for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

*37 C.F.R 1.97 (c) includes the following Certification pursuant to 37 C.F.R. 1.97 (e):

 X That each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing this statement.

 That no item of information contained in the information statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned attorney after making reasonable inquiry, was known to any individual designated in 1.56(c) more than three months prior to filing of this statement.

If there are any additional charges, please charge **Deposit Account No. 502270**.

Should the Examiner have any questions or comments regarding the Information Disclosure Statement, the Examiner is invited to telephone the undersigned at the number listed below.

Date: November 22, 2006

Respectfully submitted,



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Substitute for form 1049/PTO

(Use as many sheets as necessary)

Complete if Known

Application Number	10/516,849
Filing Date	January 7, 2005
First Named Inventor	Kenichiro Miyahara
Art Unit	1775
Examiner Name	Austin, Aaron
Attorney Docket Number	Y04S022

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U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)				
		JP09-027636	01-28-1997	Toshiba Corp.		✓
		JP01-103961	04-21-1989	Tokuyama Soda Co Ltd.		✓

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.